



DISCLOSURE FORM FOR BACKGROUND CHECK OF PROSPECTIVE BROKER

The aggregator, broker or consultant identified below ("**Broker**") wants to conduct business with one or more NRG Energy, Inc. ("**NRG**") retail electric providers in order to obtain offers for retail electric energy for Broker's customers. Before NRG will qualify Broker to become an NRG approved supplier, NRG may conduct criminal, civil, credit and financial background checks of Broker's owners, officers, and certain representatives. NRG conducts background checks to determine whether Broker and its representatives conduct business with honesty and integrity in accordance with NRG's core values and possess the necessary experience. To confirm the identity of Broker's owners, officers, and representatives, NRG requests below the dates of birth, full names, and motor vehicle operator license numbers and may request other public data.

By signing below, Broker's authorized representative acknowledges that (i) NRG may conduct the background checks described above; (ii) Broker has the permission of each of Broker's owners, officers and representatives to act on their behalf to permit NRG to conduct the background checks (iii) NRG reserves the right to refresh or conduct ongoing background checks if Broker is approved as an NRG supplier; and (iv) providing the requested information to NRG may or may not lead to Broker becoming an approved supplier. Broker will provide all additional information that NRG may require to perform the background checks.

If NRG, in its sole discretion, finds Broker's background acceptable and NRG chooses to conduct business with Broker, the relationship between Broker and NRG will be governed by a supplier registration, a separate electric services broker agreement, NRG's Supplier Code of Conduct and other related materials. Please use additional pages as needed to provide the requested information.

COMPANY INFORMATION			
Legal Entity Name of Broker Company:			FEIN #:
Dun & Bradstreet # (if applicable):		Website:	
Registered Assumed Name(s) of Broker:			Number of Years in Business:
Form of Legal Entity: <input type="checkbox"/> Corp. <input type="checkbox"/> LLC <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other:			
Street Address:			
City, State, Zip:			
Mailing Address (if different from above):			
Telephone Number:		FAX Number:	
OWNER / OFFICERS INFORMATION			
Name:		<input type="checkbox"/> Owner	<input type="checkbox"/> Officer
Date of Birth:			
Driver's License #:	State Issuing:	Last Four Digits of SS#:	
Name:		<input type="checkbox"/> Owner	<input type="checkbox"/> Officer
Date of Birth:			
Driver's License #:	State Issuing:	Last Four Digits of SS#:	
Name:		<input type="checkbox"/> Owner	<input type="checkbox"/> Officer
Date of Birth:			
Driver's License #:	State Issuing:	Last Four Digits of SS#:	
EXECUTION			
Authorized Representative:		Title:	
Signature:		Date: Click here to enter a date.	
E-mail Address:			